

CIRCULAR

TO: All Market Participants

FROM: Listings and Trading Department

DATE: 28 March 2019

SUBJECT: COLLINS NEWMAN & COMPANY (COLLINS NEWMAN OR THE LAW FIRM) – SUSPENSION FROM THE BSEL REGISTER OF ADVISERS AS PER SECTION 13.5(D) OF THE BSEL EQUITY LISTINGS REQUIREMENTS (THE REQUIREMENTS)

1. Section 13.5(d) of the Requirements state the procedure for sanctions against Registered Advisers as follows;

“If at any time disciplinary action and/or sanctions are imposed on a Registered Adviser by their industry Regulator, the BSE shall suspend the Registered Adviser’s registration status pending review of the reasons for the regulator’s sanctions.”

2. In accordance with the above section, the BSEL hereby informs stakeholders that Collins Newman has been suspended from the BSEL Register of Advisers with immediate effect pending clarification of its status with the Law Society of Botswana.
3. For further information or clarification, please contact the BSE Listings and Trading Department at listings@bse.co.bw or +267 3674400.

LISTINGS AND TRADING DEPARTMENT