



## 2021 BSE VIRTUAL WORKSHOP - DISCUSSION OF THE NEW MEMBERS RULES AND THE RULES REGULATING MARKET MAKERS

Date: 26 August 2021

Time: 09h00 – 14h00

Venue: Virtual (Microsoft Teams)

### Overview:

The Workshop will be hosted in line with the BSE's strategic initiative of developing the market through educating market participants on the BSE Regulatory Framework. The workshop is geared at sensitizing the participants about the new BSE Members Rules. Further, it will also be a refresher on the Rules Regulating Market Markers on the BSE covering general compliance with the Rules.

Who Should Participate:	What will you learn?	Workshop Fees
<ul style="list-style-type: none"><li>• Brokers</li><li>• Dealers</li><li>• Market Makers</li><li>• Potential special members of the BSE</li><li>• Any other persons interested in learning about how to become brokers, dealers and market makers on the BSE</li></ul>	<ul style="list-style-type: none"><li>• General Provisions of the Members Rules</li><li>• Provisions on Authorisations and Approvals</li><li>• Members Conduct of business, Market conduct, client's assets, supervision and enforcement provisions</li><li>• Members Financial Resources Requirements</li><li>• General provisions of Market Making Rules</li><li>• Criteria for registration of Market Makers</li><li>• Obligation of Market Makers</li><li>• Provisions on Pricing – Maximum spreads</li><li>• Provisions of sanctions and termination</li></ul>	<p>Brokerage and Market Making Firms (per delegate) – BWP 500.00</p> <p>Other Corporates (per Delegate) –BWP 350.00</p> <p>Any other person interested in attending – BWP 350.00</p> <p><b>The fees are VAT inclusive</b></p>

To Please book at the earliest opportunity. For more information, contact [listings@bse.co.bw](mailto:listings@bse.co.bw) or call 3674429

## FACILITATOR



### **TSAMETSE MMOLAI - BSE HEAD OF LISTINGS & TRADING**

Mr. Mmolai has over 15 years of experience in the Financial Services and Capital Markets Industry in Botswana. Mr. Mmolai graduated with a BCom (Finance) from Macquarie University in Sydney, Australia.

Over the years, he has also attended Compliance and Financial Regulation courses at institutions such as the U.S. Securities and Exchange Commission, the International Centre for Parliamentary Studies in London, England, as well as the University of Cape Town. He also completed the Management Development Programme (MDP) and the Executive Development Programme (EDP) with the University of Stellenbosch Business School (RSA) in 2016 and 2018 respectively.

Recently he graduated from the George Washington University School of Business with a Capital Markets Post Graduate Certificate under the IFC Milken Institute Capital Markets Program which also exposed him to a four months' attachment at Varde Partners in New York in the Capital Markets division of the Global Alternative Asset Management Firm.

## FACILITATOR



### **GORATA DIBOTELO - BSE HEAD OF LEGAL SERVICES & BOARD SECRETARY**

Ms. Dibotelo is well versed with the operation of Botswana legal regime enabling her to acclimatize to any legal, compliance and regulatory environment.

She was involved in the Demutualization of the BSE and the implementation of transition. She greatly contributed to drafting of the Members Rules, the Settlement Guarantee Fund Rules, the Securities Borrowing and Lending Rules and Procedures, all of which are expected to enhance regulation of Capital Markets in Botswana.

Ms. Dibotelo graduated with a Bachelor of Laws Degree from the University of Botswana and has also completed a Master's Degree in Commercial Law from the University of Cape Town

