



# BOTSWANA STOCK EXCHANGE VACANCY

## HEAD OF RISK AND COMPLIANCE

The Botswana Stock Exchange Limited (BSEL) is one of the leading exchanges in Southern Africa and plays an increasingly important role in the country's economic development. The BSEL wishes to recruit a dynamic professional to join the Risk and Compliance Function and help contribute to the development of Botswana's capital market.

### HEAD OF RISK AND COMPLIANCE

Functionally reporting to the Risk and Audit Committee and administratively to the Chief Executive Officer, the successful candidate will coordinate and control Risk and Compliance functions, conduct risks assessments and analysis and develop, implement, maintain and enhance compliance and risk policies, procedures and processes in the BSE. The candidate is expected to have a good understanding of the financial services sector, understand the linkages between risk management and strategic planning, strategy implementation and monitoring as well as governance framework.

### KEY PERFORMANCE AREAS

- Develop, execute and coordinate the implementation of the BSEL's risk management and compliance framework.
- Contribute to reduction of the BSEL's financial and operational exposure to risk and noncompliance through the implementation of comprehensive risk assessment programmes and compliance strategies.
- Proactively manage all categories of risks faced by BSEL by developing and implementing risk management policies and processes.
- Implement the framework to strengthen surveillance of the capital markets.
- Investigate the market surveillance alerts/ contraventions raised from Trading and Listings
- Provide the BSE staff with support, education and training to build risk and compliance awareness.

## COMPETENCIES

- Technical Knowledge and expertise
- Strategic thinking
- Decisiveness
- Assertiveness
- Integrity and Trust
- Communication and Interpersonal Skills

The prospective candidate should hold a recognised degree in Law, Commerce, Finance, Business related degree or equivalent.

The prospective candidate should have 10 years post qualification experience with at least 3 years' experience in compliance or risk management function in the Finance Services Industry.

**If you meet the requirements of the above position, please email a complete application inclusive of updated CV, Certified Certificates and the names of two non-related referees to:**

Head of Finance and Administration

e-mail: [recruitment@bse.co.bw](mailto:recruitment@bse.co.bw)

Applications should reach the BSEL on or before the close of **06<sup>th</sup> June 2022**.

**Kindly note that BSEL shall enter into correspondence with shortlisted candidates only.**